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V	6,649,904	11/18/2003	Hayashi et al.						
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	6,749,602	06/15/2004	Sierra et al.						
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ATTY. DOCKET NO. SERIAL NO. INFORMATION DISCLOSURE 10/072,610 39238-0747 **STATEMENT** APPLICANT STERN, Roger A. et al. PTO-1449 **GROUP: 3739** FILING DATE 02/06/2002 U.S. PATENT DOCUMENTS NAME CLASS SUBCLASS FILING DATE **EXAMINER'S** PATENT NO. DATE INITIALS Shadduck 606 3 . 3/27/98 6.053,909 4/25/00 7/2/02 Koop, et al. 606 27 11/3/98 6,413,253 4/23/96 Taylor 606 13 8/12/94 5,509,916 2/16/94 606 15 **Perkins** 5,401,272 3/28/95 37 6/26/89 4/30/91 Sleister 606 5,011,483 606 27 11/3/98 6,413,253 7/2/02 Koop et al. 9 8/16/98 6,273,885 8/14/01 Koop et al. 606 606 9 7/29/99 9/17/02 Koop et al. 6,451,007 9 606 12/12/91 9/06/94 Ghaffari 5,344,418 7/29/96 604 21 9/29/98 Chen et al. 5,814,008 606 6/24/98 10/19/99 Fullmer et al. 5,968,034 606 9 6/24/97

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	WO 00/48644 A3	8/24/00	PCT	A61B	18/00	☒	
	OTHER DOC	UMENTS (I	ncluding Author, Title, Date, P	ertinent Pa	ges, Etc.)		
ØV	Thermage, Inc., "Co	omplaint For	Patent Infringement", July 23, 2	004.			
7	Thermage, Inc., "M	otion for Pre	liminary Injunction", August 6, 2	2004.			
	Thermage, Inc., "M	emorandum	in Support of Motion for Prelimi	nary Injunc	ion", August 6,	2004.	
	Thermage, Inc, "De attached exhibits A		Edward A. Ebbers in Support of 2004.	Motion for I	Preliminary Inju	nction" a	ınd

Fullmer et al.

5,885,274

EXAMINER

3/23/99

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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INFO	RMA	TION DISCLO	SURE	ATTY. DOCKET NO.		SER	IAL NO).		
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ON.		5,820,626	10/13/98	Baumgardner	6	06	13		7/30/96	
		5,814,040	9/29/98	Nelson et al.	6	06	9		5/15/95	
		5,595,568	1/27/97	Anderson et al.	6	06	9		2/1/95	
		5,735,844	4/7/98	Anderson et al.	6	06	9		4/7/98	
		6,659,999	12/9/03	Anderson et al.	G	06	9		6/5/00	
		5,979,454	11/9/99	Anvari et al.	ı	28	89	8	6/6/97	
		6,171,301	1/9/01	Nelson et al.	6	06	9		11/3/97	'
		5,628,744	5/13/97	Coleman et al.	6	06	12	:	12/21/93	
		5,683,380	11/4/97	Eckhouse et al.	6	06	19)	3/29/95	
	<u></u>	5,810,801	11/22/98	Anderson et al.	6	06	9		2/5/97	
1		5,885,273	3/23/99	Eckhouse et al.	6	06	9		2/9/96	
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M		Thermage, Inc. " attached Exhibits		FDr. Maureen Reitman in Support 6, 2004.	of M	otion	for Prelin	ninary	Injunction	n" and
-41		Thermage, Inc. " Exhibits A-D, A		Dave B. Koo in Support of Motic	on for	Prelit	ninary In	junctio	n" and at	tached
		Syneron Medical Opposition to Pla	, Ltd., Synero aintiff's Motio	n, Inc., "Declaration of Robert S. In for a Preliminary Injunction" and	McAr d attac	thur is	Support	of Syr -25, A	ieron's ugust 17,	2004.
		Syneron Medical Injunction", Aug		n, Inc., "Memorandum in Opposit	ion to	Plain	tiff's Mot	ion for	a Prelim	inary
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13		6,248,103	6/19/01	Tannenbaum et al.	606	9	5/28/99	
V		5,906,609	5/25/99	Assa et al.	606	9	2/5/97	
		5,938,657	8/17/99	Assa et al.	606	9	2/5/97	
		5,964,749	10/12/99	Eckhouse et al.	606	9	9/15/95	
		6,050,990	4/18/00	Tankovich et al.	606	9	12/4/97	
·		6,090,101	7/18/00	Quon et al.	606	9	12/10/97	
		6,104,959	8/15/00	Spertell	607	101	7/31/97	
		6,168,590 1/2/01		Neev	606	9	8/11/98	
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B	V	Syneron Medical	Ltd., Syncro	(Including Author, Title, Date, Po n, Inc., "Declaration of Dr. Warren n for a Preliminary Injunction" and	S. Grundfe	st in Support of	Syneron	i
B	V	Syneron Medical Opposition to Pla Syneron Medical	, Ltd., Synero aintiff's Motio , Ltd., Synero	n, Inc., "Declaration of Dr. Warren	S. Grundfo attached E	est in Support of xhibits A-F, Au in Support of Sy	Syneron gust 27, 2	e 2004.
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RV	6,210,402	4/3/01	Olsen et al.	606	32	11/25/9	7
	6,235,024	5/22/01	Τυ	606	41	6/21/99)
	6,337,998	1/8/02	Behl et al.	607	101	7/14/99	
	4,140,130	2/20/79	Storm, III	607	154	5/31/77	1
	5,948,009	9/7/99	Tu	607	96	3/6/98	
	6,047,215	4/4/00	McClure et al.	607 101		3/6/98	
	6,273,884	8/14/01	Altshuler et al.	606	9	5/13/98	
	6,336,926	1/8/02	Goble .	606	34	1/18/00)
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RV	Syneron Medical	l. Ltd., Synero	in, Inc., "Syneron Medical Ltd.'s ar Judgment, Counterclaim against T	nd Symeron,	Inc.'s Answer to	o Compla 2004.	int with
7	Thermage, Inc.,	"Reply Memo	randum re Motion for Preliminary	Injunction"	, September 3,	2004.	:
	Thermage, Inc., attached Exhibit		of John M. Benassi in Support of M ober 3, 2004.	lotion for P	reliminary Injur	nction" an	nd
	Thermage, Inc., Exhibits A-C, Se	"Declaration of ptember 3, 20	of Paul Davis in Support of Motion 104.	for Prelimi	nary Injunction	" and atta	ched
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5,964,749 10/12/99 Eckhouse et al. 606 9 9/15/95 5,405,368 10/20/92 Eckhouse et al. 607 88 10/20/92 FOREIGN PATENT DOCUMENTS EXAMINER'S INITIALS PATENT NO. DATE COUNTRY CLASS SUBCLASS TRANSLATION YES NO	. (V)	6,500,141	12/31/02	Irian et al.	60	24	32	7/7/00	
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September 3, 2004. Thermage, Inc., "Declaration of Maureen Reitman in Support of Motion for Preliminary Injunction (Supplemental)", September 3, 2004.	1			of Edward W. Knowlton in Supp	ort of M	lotion f	or Preliminary	Injunctio	on",
(Supplemental)", September 3, 2004.				of Richard J. Meader in Support o	of Motic	on for P	reliminary Inj	unction",	,
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	Syneron Medical Motion", Septem	l, Ltd., Synero iber 10, 2004	m, Inc., "Surreply i	Opposition to T	hermage,	Inc.'s Preli	mina	ry Injund	tion
	Syneron Medica Injunction Motio	l, Ltd., Syneron" and attach	on, Inc., "Suppleme ed Exhibits A-C, So	ntal Declaration of ptember 10, 2004	f Jill Nei	man in Opp	ositio	n to Pre	liminary
	Syneron Medica Preliminary Inju	l, Ltd., Syner nction Motion	on, Inc., "Suppleme " and attached Exh	ntal Declaration o ibits A-B, Septem	f Warren ber 10, 2	Grundfest i	in Op	position	10
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A	Judge Charles R	Breyer, "O	(Including Author, Title, Date, I der granting Motion for Leave to	File Surrep	y", September 1	3, 2004.				
	T	"Memorand	um in Opposition re Motion for Pr				reply",			
	Judge Charles R	. Broyer, "O	rder Regarding Questions for Oral	Argument'	, September 16,	2004.				
	Thermage, Inc.,	"Answer to	CounterClaim", September 16, 2004.							
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INFORMATION DISCLOSURE STATEMENT PTO-1449		ATTY. DOCKET NO. 39238-0747 APPLICANT STERN, Roger					
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Judge Charles R. Breyer, "	Orde	er denying Motion for Preliminary	Injun	ction'	, September 27	2004.	
Judge Charles R. Breyer,	Tran	script of Proceedings held on 09/1	7/04"	, Oct	ober 8, 2004.		
Anvari et al., "Dynamic E Theoretical and Prelimina	pider y Cl	mal Cooling in Conjunction with inical Evaluations," Lasers in Med	Laser lical S	Treat tudie	ment of Port-Wis, 10: 105-112,	ine Stain: 1995	s:
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(NEODMA)	INFORMATION DISCLOSURE	ATTY. DOCKET NO.	SER	IAL NO.		·	
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GN	Anyari et al. "S	patially Sele	ctive Photocoagulation of Biologic plied Optics, Vol. 35, No. 19, July	al Tissues: I	Feasibility Study	/ Utilizin	g
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APPLICATION NO.

10/072,610 39238-0747 ENFORMATION DISCLOSURE TITLE: Handpiece for treatment of tissue CITATION APPLICANT: STERN, Roger et al PTO-1449 FILING DATE: 02/06/2002 Art Unit: 3739 U.S. PATENT DOCUMENTS **CLASS** SUBCLASS **FILING** NAME PATENT NO. DATE **EXAMINER'S** DATE INITIALS 604 500 6/7/01 3/16/04 Weaver et al. 6,706,032 6/5/00 606 9 12/9/03 Anderson et al. 6.659.999 3 3/26/99 606 8/12/03 Altshuler et al. 6.605,080 108 11/21/00 Anderson et al. 372 3/4/03 6,529,543 606 9/6/01 3/4/03 Esch et al. 6,527,763 9 8/9/00 606 6.511,475 1/28/03 Altshuler et al. 4/11/00 Domankivitz et al. 606 17 2/26/02 6,350,261 10/23/98 724 714 8/14/01 Fuller et al. 6,275,962 5/13/98 606 9 Altshuler et al. 6.273.884 8/14/01 G 5/28/99 606 Tannenbaum et al. 6/19/01 6,248,103 9 11/3/97 606 1/9/01 Nelson et al. 6,171,301 148 439 8/12/99 10/31/00 Fernandes et al. 6,139,653 11/28/95 13 606 6,129,723 10/10/00 Anderson et al. 8/11/98 606 17 10/3/00 Domankevitz et al. 6,126,655 9 9/15/98 606 9/19/00 Anderson et al. 6,120,497 101 8/13/97 Ingle et al. 607 6.081.749 6/27/00 10/27/98 15 606 5/23/00 Gregory et al. 6,066,130 FOREIGN PATENT DOCUMENTS **CLASS** SUBCLAS TRANSLATION PATENT NO. DATE COUNTRY **EXAMINER'S** INITIALS YES NO П OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Anvari, et al., "Dynamic Epidermal Cooling in Conjunction with Laser Treatment of Port-Wine Stains: Theoretical and Preliminary Clinical Evaluations", Lasers in Medical Science 10: 105-112, (July 1995) Nelson, et al., Abstract: "Dynamic epidermal cooling during pulsed laser treatment of port-wine stain. A new methodology with preliminary clinical evaluation", Archives of Dermatology, 131:695-700 Anvari, et al., "Spatially selective photocoagulation of biological tissues; feasibility study utilizing cryogen spray cooling*, Applied Optics, Vol 35, No. 19 (July 1996) DATE CONSIDERED **EXAMINER**

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ATTY, DOCKET NO. **APPLICATION NO.** 10/072,610 39238-0747 INFORMATION DISCLOSURE TITLE: Handpi ce f r treatm nt of tissu CITATION APPLICANT: STERN, Roger et al PTO-1449 FILING DATE: 02/06/2002 Art Unit: 3739 U.S. PATENT DOCUMENTS **FILING SUBCLASS CLASS** DATE NAME EXAMINER'S PATENT NO. DATE INITIALS Pomeranz et al. 600 374 7/21/97 1/11/00 6.014.579 385 11/12/98 359 5.995,283 11/30/99 Anderson et al. 898 6/6/97 11/9/99 Anvari et al. 128 5,979,454 66 11/4/96 623 7/20/99 Anderson 5,925,078 9 5/8/98 606 Yarborough et al. 5,911,718 6/15/99 7/15/96 385 359 Anderson et al. 5,880,880 3/9/99 4/25/97 604 51 3/9/99 Godshall et al. 5,879,326 608 15 7/7/95 9/29/98 Anderson et al. 5,814,041 5/15/95 9 606 5,814,040 9/29/98 Nelson et al. 9/22/98 9 608 9/22/98 Anderson et al. 5,810,801 3/15/94 424 59 9/17/96 Anderson et al. 5,556,612 9 1/30/96 608 4/7/98 Anderson et al. 5,735,844 4/8/94 606 15 7/22/97 Gregory et al. 5,649,923 1/19/94 66 623 11/5/96 Anderson 5,571,216 2/1/95 Anderson et al. 606 9 1/21/97 5,595,568 9 1/6/95 608 Yarborough et al. 5,558,667 9/24/96 **FOREIGN PATENT DOCUMENTS** CLASS SUBCLAS TRANSLATION DATE COUNTRY PATENT NO. **EXAMINER'S** S **INITIALS** YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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PU	5,500,012	3/1996	Brucker et al	•	607	122			
1	5,556,377	9/1996	Rosen et al.		604	22			
	5,643,334	7/1997	Eckhouse et	al.	607	88			
	5,655,547	8/1997	Karni		128	898			
	5,776,092	7/1998	Farin et al.		604	22			
	5,776,175	7/1998	Eckhouse et	al.	607	100			
	5,833,612	11/1998	Eckhouse et al.		600	. 476			
	5,836,999	11/1998 Eckhouse et 10/1999 Eckhouse et 11/1999 Karni et al. 12/2000 Kreindel, et al. 05/2002 Kreindel, et al.		al.	607	88			
	5,964,749			al.	606	9			
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	5,249,192	09/28/93	Kuizenga et al.	372	23	
	5,290,273	03/01/94	Tan	606	3	
	5,360,447	11/01/94	Коор	623	15	
	5,522,813	06/04/96	Trelles	606	2	
	5,527,350	06/18/96	Grove et al.	607	89	
	5,531,739	07/02/96	Trelles	606	2.5	
	5,558,667	09/24/96	Yarborough et al.	606	9	
	5,578,029	11/26/96	Trelles et al.	606	25	
	5,599,342	02/04/97	Hsia et al.	606	9	
	5,746,735	05/05/98	Furumoto et al.	606	9	
	5,743,901	04/28/98	Grove et al.	606	9	
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	5,754,573	05/19/98	Yarborough et al.	372	22	
	5,810,801	09/22/98	Anderson et al.	606	9	
	5,814,040	09/29/98	Nelson et al.	606	9	
	5,843,072	12/01/98	Furumoto et al.	606	9	
	5,843,078	12/01/98	. Sharkey	606	41	
	5,871,479	02/16/99	Furumoto et al.	606	9	
	5,911,718	06/15/99	Yarborough et al.	606	9	
	5,979,454	11/9/99	Anvari et al.	128	898	
	5,983,900	11/16/99	Clement et al.	128	1 898	
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RV	6,045,548	04/04/00	Furumoto et al.	606	9		
-01	6,077,294	06/20/00	Cho et al.	607	89		
	6,171,301 .	01/09/01	Nelson et al.	606	9		
	6,228,075	05/08/01	Furumoto	606	9.		
	6,240,925	06/05/01	McMillan et al.	128	898		
	6,248,103	06/19/01	Tannenbaum et al.	606	9		
	6,273,883	08/14/01	Furumoto	606	9		
	6,383,176	05/07/02	Connors et al.	606	9		
-	6,387,089	05/14/02	Kreindel et al. 606		9		
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	\o\						TITLE: Handpiece For Treatment of Tissue					
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		B)	П	Tissue Research,	vol 7, pp. 697	-701, (1990).	uring the Hydrotherm					ctive
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				Danielson, C. "	Thermal stabili	ty of reconstitute	d collagin fibrils, shr pment, Vol 15, pp. 2	inkage 69-278	char , (19	acteristics up 81).	on in vit	ro
				Kronick, et al. "	The locations	of collagens with	different thermal state pp. 123-134, (1988).	bilities	in fi	brils of bovir	ne rectica	ılar
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							sion processes", ISA,			044, pp. 355	-36 <u>0</u> , (19	93)
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INFORMATION DISCLOSURE CITATION

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ATTY. DOCKET NO. 39238-0747	APPLICATION NO. 10/072,610
TITLE: Handpiece For Treatment of	Tissue
APPLICANT Stem et al.	
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Rh	4,375,220	5/83	Matvias		128	804	5/9/80	
#	4,381,007	4/83	Doss		128	303.1	4/30/8	
	4,441,486	4/84	Pounds		128	24	10/27/	81
	4,545,368	10/85	Rand, et al.		128	1.3	4/13/8	3
	4,676,258	6/87	Inokuchi, et al.		128	804	6/5/86	
	4,709,701	12/87	Weber		128	422	4/14/86	
	4,881,543	11/89	Trembly, et al.		128	303.1	6/28/8	8
	4,887,614	12/89	Shirakami, ct al	•	128	798	4/18/89	
	4,889,122	12/89	Waunough et al.		128	399	4/11/88	
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ATTY. DOCKET NO.

39238-0747

TITLE:
Handpiece For Treatment of Tissue

APPLICANT
Stem et al.

FILING DATE
February 6, 2002

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SHEET 4 OF 5 ATTY, DOCKET NO. APPLICATION NO. 10/072,610 39238-0747 **UNPORMATION DISCLOSURE** TITLE: **CITATION** Handpiece For Treatment of Tissue APPLICANT PTO-1449 Stern et al. GROUP **FILING DATE** & TRADENS February 6, 2002 3739 U:S: PATENT DOCUMENTS FILING **EXAMINER'S SUBCLASS** INITIALS PATENT NO. DATE NAME **CLASS** DATE 5,423,807 6/95 Milder 606 20 1/24/94 606 41 3/16/94 5,423,811 6/95 Imran, et al. 40 2/17/94 8/95 Nardella 606 5,437,662 5,458,596 10/95 Lax, et al. 606 31 5/6/94 10/95 Brucker, et al. 604 20 12/21/93 5,462,521 89 11/95 Smith 607 4/28/94 5,464,436 Klicck 5,496,312 3/96 4/96 Weiss 5,507,790 42 2/16/95 606 10/96 5,569,242 Lax, et al. 128 400 4,756,310 7/12/88 Bitterly 4,633,875 7/1987 Turner 607 104 6,139,569 10/2000 ingle ct al. Kikuchi et al. 5,231,997 8/1993 2/1979 4,140,130 Storm, III 6/1998 Richards et al. 607 101 5,769,879 4,556,070 12/1985 Vaguinc et al. 898 128 5,775,338 7/1998 Hastings FOREIGN PATENT DOCUMENTS Translation **EXAMINER'S** COUNTRY CLASS SUBCLASS INITIALS PATENT NO. DATE No Yes A61B 17/36 0 395 307 A2 10/31/90 Europe 98 05286 2/1998 PCT A61H 7/00 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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ATTY, DOCKET NO. APPLICATION NO. **ANEORMATION DISCLOSURE** 10/072,610 39238-0747 TITLE: **CITATION** AR 1 7 2004 Handpiece For Treatment of Tissue APPLICANT PTO-1449 Stern et al. FILING DATE GROUP February 6, 2002 3739 us-patent-documents EXAMINER'S FILING CLASS SUBOLASS PATENT NO. DATE DATE INITIALS NAME 5,366,443 11/94 Eggers, et al. 12/97 5,697,909 Eggers, et. al. 5,697,882 12/97 Eggers, et al. 5,697,536 12/97 Eggers, et al. 12/97 Eggers, et al. 5,697,281 5,693,045 12/97 Eggers 5,692,058 11/97 Eggers, et al. 11/97 5,683,366 Eggers, et al. 10/97 Eggers, et al. 5,681,282 FOREIGN PATENT DOCUMENTS Translation **EXAMINER'S** PATENT NO. DATE COUNTRY CLASS SUBCLASS INITIALS Ycs 12/97 New Zealand 266678 98/03220 1/98 **PCT** PCT 1/98 68/03117 5/97 PCT 97/18768 97/18765 5/97 PCT PCT 96/39914 12/96 10/96 PCT. 96/32051 TECHNOLOGY CENTER HO **PCT** 96/27327 9/96

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